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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENTEE: Jonathan Oliver et al.  
APPLICATION NO.: 09/361,678  
PATENT NO.: 7,158,986  
FILING DATE: July 27, 1999  
ISSUE DATE: January 2, 2007  
TITLE: Method and System for Providing a User with  
Personalized Recommendations by Electronic-Mail Based  
on Determined Interests of the User Pertaining to a Theme  
or Concept of a Categorized Document  
ATTY.DKT.NO.: PA3639US

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ATTN: CERTIFICATE OF CORRECTIONS BRANCH  
COMMISSIONER FOR PATENTS  
P.O. BOX 1450  
ALEXANDRIA, VA 22313-1450

**REQUEST FOR CERTIFICATE OF CORRECTION  
FOR PATENT OFFICE MISTAKE (37 C.F.R. § 1.322)**

The Applicants respectfully request that the U.S. Patent Office issue a Certificate of Correction as set forth in attached PTO-SB-44 and described below. Attached in duplicate is Form PTO-SB-44 with at least one copy being suitable for printing. The changes shown in the Certificate of Correction are clerical in nature and therefore do not constitute new matter or require reexamination. The errors outlined in the Certificate of Correction are a result of a Patent Office mistake.

**Certificate**  
APR 05 2007  
**of Correction**

APR 05 2007

Specifically, the title of the patent as issued and recited in Section 54 is incorrect. The title of the patent is "Method and System for Providing a User with Personalized Recommendations by Electronic-Mail Based on Determined Interests of the User Pertaining to a Theme or Concept of a Categorized Document" and *not* "Method and System Providing User with Personalized Recommendations by Electronic-Mail Based Upon The Determined Interests of the User Pertain to the Theme and Concepts of the Categorized Document."

As the error occurred as the result of a Patent Office mistake, the Applicants believe no fee is due. Specifically, the Patent Office (on November 7, 2007) approved an amendment submitted under Rule 312 that sought to enter the aforementioned correction to the title. That amendment was approved by the Patent Office but not entered as is reflected by the face of the issued patent.

Respectfully submitted,  
Jonathan Oliver et al.

March 30, 2007

By:

Kenneth M. Kaslow

Kenneth M. Kaslow (Reg. No. 32,246)

**Carr & Ferrell LLP**

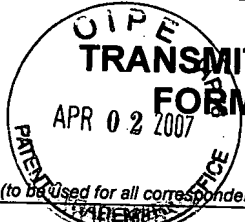
2200 Geng Road

Palo Alto, CA 94303

T: 650.812.3400

F: 650.812.3444

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	Application Number	09/361,678	
	Filing Date	July 27, 1999	
	First Named Inventor	Oliver	
	Art Unit	2166	
	Examiner Name	Channavajjala	
Total Number of Pages in This Submission	5	Attorney Docket Number	PA3639US

ENCLOSURES (Check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement  <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Reply to Missing Parts/Incomplete Application <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): 1) Confirmation Postcard; 2) Request for Certificate of Correction; 3) Certificate of Correction (x2)
Remarks Total page number does not include postcard.		
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT		
Firm Name	Carr & Ferrell LLP	
Signature	<i>Kenneth M. Kaslow</i>	
Printed name	Kenneth M. Kaslow	
Date	March 30, 2007	Reg. No. 32,246

CERTIFICATE OF TRANSMISSION/MAILING		
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:		
Signature	<i>Kenneth M. Kaslow</i>	
Typed or printed name	Kenneth M. Kaslow	Date March 30, 2007

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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## UNITED STATES PATENT AND TRADEMARK OFFICE CERTIFICATE OF CORRECTION

Page 1 of 1

PATENT NO. : 7,158,986 B1

APPLICATION NO.: 09/361,678

ISSUE DATE : January 2, 2007

INVENTOR(S) : Jonathan Oliver, Rohan Baxter, Wray Buntine, Steven Waterhouse

It is certified that an error appears or errors appear in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

In Section (54), the title of the patent is:

"METHOD AND SYSTEM FOR PROVIDING A USER WITH PERSONALIZED RECOMMENDATIONS BY ELECTRONIC-MAIL BASED ON DETERMINED INTERESTS OF THE USER PERTAINING TO A THEME OR CONCEPT OF A CATEGORIZED DOCUMENT"

### MAILING ADDRESS OF SENDER (Please do not use customer number below):

Carr & Ferrell LLP  
2200 Geng Road  
Palo Alto, CA 94303

This collection of information is required by 37 CFR 1.322, 1.323, and 1.324. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.0 hour to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Attention Certificate of Corrections Branch, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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